

# Sifting the Primes

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18 March 2005

Irreducible forms:

$$q_1(x, y) := a_1x^2 + 2b_1xy + c_1y^2,$$
$$q_2(x, y) := a_2x^2 + 2b_2xy + c_2y^2,$$

$$a_i, b_i, c_i \in \mathbb{Z}.$$

Variety  $V$  defined by:

$$V : \begin{cases} q_1(x, y) = u^2 + v^2 \\ q_2(x, y) = s^2 + t^2 \end{cases}$$

## The Sieve of Eratosthenes

2	3	4	5	6	7
8	9	10	11	12	13
14	15	16	17	18	19
20	21	22	23	24	25
26	27	28	29	30	31
32	33	34	35	36	37

Prime numbers  $n \leq N$ . If  $n$  is composite, then it has a prime factor  $p$  with

$$p \leq \sqrt{n}.$$

Thus, having struck out multiples of primes  $p \leq \sqrt{N}$ , we've extinguished all the composite numbers less than  $N$ .

## The Sieve of Eratosthenes–Legendre

$\pi(N) :=$  the number of primes  $p \leq N$ .

$$S(N, r) := \#\{n \leq N : 2, 3, 5, \dots, p_r \nmid n\},$$

where  $p_r < N$  is the  $r$ -th prime.

Then we have the relationship:

$$\pi(N) \leq p_r + S(N, r).$$

Reason: suppose  $p$  counted by  $\pi(N)$ , so that  $p \leq N$ . Either  $p \leq p_r$  or  $p > p_r$ . In the first case,  $p \in \{1, \dots, p_r\}$ , so  $p$  is counted by  $p_r$ , otherwise  $p$  is counted by  $S(N, r)$ .

Define

$$N_k := \#\{n \leq N : k|n\},$$

then

$$\begin{aligned} S(N, r) = & N - N_2 - N_3 - \dots - N_{p_r} \\ & + N_6 + N_{10} + \dots + N_{p_i p_j} \\ & - \sum N_{p_i p_j p_k} + \dots \pm N_{p_1 \dots p_r} \end{aligned}$$

Now  $N_k$  counts  $k, 2k, 3k, \dots, qk$  where  $qk \leq N < (q+1)k$ , so

$$N_k \leq \frac{N}{k} < N_k + 1.$$

$$\begin{aligned} S(N, r) &= N - \frac{N}{2} - \frac{N}{3} - \dots - \frac{N}{p_r} \\ &\quad + \frac{N}{6} + \frac{N}{10} + \dots + \frac{N}{p_i p_j} \\ &\quad - \sum \frac{N}{p_i p_j p_k} + \dots \pm \frac{N}{p_1 \dots p_r} + \text{error}, \end{aligned}$$

where  $|\text{error}| \leq 2^r \leq 2^{p_r}$ . We'll write  $\text{error} = O(2^{p_r})$ , where  $f(n) = O(g(n))$  means that there exists a constant  $C$  such that  $|f(n)| \leq Cg(n)$ . In our case  $C = 1$ . So

$$\begin{aligned} S &= N \left( 1 - \sum_{i \leq r} \frac{1}{p_i} + \sum_{i \neq j \leq r} \frac{1}{p_i p_j} - \dots \pm \frac{1}{p_1 \dots p_r} \right) \\ &\quad + O(2^{p_r}). \\ &= N \prod_{i=1}^r \left( 1 - \frac{1}{p_i} \right) + O(2^{p_r}) \end{aligned}$$

Notation:  $f(n) \sim g(n)$  means that  $f(n)/g(n) \rightarrow 1$  as  $n \rightarrow \infty$ .

Fact: there is a constant  $C$  such that

$$\prod_{p < z} \left(1 - \frac{1}{p}\right) \sim C \frac{1}{\log z}.$$

Choose  $r$  such that  $p_r < \log N \leq p_{r+1}$ , then

$$\begin{aligned} S(N, r) &= N \prod_{p < \log N} \left(1 - \frac{1}{p}\right) + O(2^{\log N}) \\ &\sim C \frac{N}{\log \log N} + N^{\log 2} \\ &= O\left(\frac{N}{\log \log N} + N^{0.7}\right) \\ &= O\left(\frac{N}{\log \log N}\right). \end{aligned}$$

Thus:

$$\begin{aligned} \pi(N) &\leq S(N, r) + p_r = O\left(\frac{N}{\log \log N} + \log N\right) \\ &= O\left(\frac{N}{\log \log N}\right). \end{aligned}$$

**Theorem (Hadamard, de la Vallée Poussin, 1896).**

$$\pi(N) \sim \frac{N}{\log N}.$$

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**Conjecture (Goldbach, 1750).** *Let  $N$  be an even number greater than 2, then*

$$N = p + q,$$

*for some primes  $p$  and  $q$ .*

How many representations? That is what is

$$\#\{p \leq N : N - p \text{ is prime}\}?$$

Heuristically, it's

$$\begin{aligned} & \sum_{p \leq N} \text{prob. that } N - p \text{ is prime} \\ & \approx \sum_{p \leq N} \frac{1}{\log(N - p)} \\ & \approx \sum_{p \leq N} \frac{1}{\log N} \\ & \approx \frac{1}{\log N} \pi(N) \sim \frac{1}{\log N} \frac{N}{\log N} = \frac{N}{(\log N)^2}. \end{aligned}$$



Definition: We say  $n \in \mathbb{N}$  is a  $k$ -almost prime and write that  $n$  is  $P_k$  if  $n$  has at most  $k$  prime factors.

**Theorem (Chen Jing-Run, 1974).** *For all sufficiently large even  $N$ , one has that*

$$N = p + P_2,$$

*for  $p$  a prime. More precisely, there exists a (computable) constant  $C$  such that for all sufficiently large even  $N$ ,*

$$|\{p : p \leq N, N - p = P_2\}| > C \frac{N}{(\log N)^2}.$$

Idea: Get a good lower bound for representations  $N = p + P_3$  then take away the representations  $N = p + p_1 p_2 p_3$  by deducing an upper bound. What's left are the representations  $N = p + P_2$ .

Essentially, Chen is interested in calculating a lower bound for the number of 2-almost primes in the set

$$\mathcal{A} := \{N - p : p \neq N\},$$

much as in our heuristic development of the Goldbach conjecture. Chen's primary innovation in the solution of this problem was the "reversal of rôles", with which he relates  $|\mathcal{A}|$  to  $|\mathcal{B}|$ , where

$$\mathcal{B} := \{N - p_1 p_2 p_3 : p_1 p_2 p_3 < N, \\ N^{1/10} \leq p_1 < N^{1/3} \leq p_2 < p_3\},$$

so that  $|\mathcal{B}|$  refers to the number of representations of  $N - p$  as the sum of a prime and a product of exactly three primes.

It is an upper bound for the number of primes in  $\mathcal{B}$  which is 'taken away' from the number of representations  $N = p + P_3$  to provide our lower bound for the number of almost prime in  $\mathcal{A}$ .

**Conjecture (Twin Primes).** *There exist infinitely many primes  $p$  such that  $p+2$  is prime.*

**Theorem (Chen Jing-Run, 1974).** *Let  $h$  be an even natural number. Then there exist infinitely many primes  $p$  such that*

$$p + h = P_2.$$

**Theorem (Brun, 1912).** *The sum*

$$\sum_{\substack{p \\ p+2 \text{ prime}}} \frac{1}{p} + \frac{1}{p+2}$$

*is convergent, its value being referred to as Brun's constant.*

1995: Thomas Nicely computed prime twins up to  $10^{14}$ , and found a bug in the Intel Pentium!

**Conjecture (Euler, 1752).** *There exist infinitely many primes  $p$  of the form  $p = x^2 + 1$ .*

**Theorem (Dirichlet, 1837).** *If  $a, b$  are co-prime integers, then there exist infinitely many primes  $p$  of the form*

$$p = ax + b.$$

**Hypothesis H (Schinzel, Sierpinski, 1958).**

*Let  $F_1(x), \dots, F_n(x)$  be distinct irreducible polynomials with integer coefficients, then under a certain condition on the product, there exist infinitely many  $x$  such that each  $F_i(x)$  is prime.*

Eratosthenes–Legendre:

primes in  $\mathcal{A} := \{n : n \leq N\}$ . Introduced  $N_k := |\mathcal{A}_k|$  with  $\mathcal{A}_k := \{n \leq N : k|n\}$ . Approximated  $N_k$  by  $N/k$  and found  $R_k = N_k - N/k$  is bounded by  $|R_k| \leq 1$ .

**Theorem (Halberstam & Richert, 1972).**

*Let  $\mathcal{A}$  be a set of integers with  $|\mathcal{A}| \approx X$ . Then, under certain conditions, one can find constants  $r \in \mathbb{N}$ ,  $\kappa, \delta > 0$  and  $C \geq 1$  such that*

$$|\{P_r : P_r \in \mathcal{A}\}| \geq \delta \frac{X}{(\log X)^\kappa} \left(1 - \frac{C}{\sqrt{\log X}}\right).$$

*The crucial condition in the determination of the least number of almost primes  $r$  is a good bound for the error term  $R_k$ . We look for a condition something like:*

$$\sum_{d < X^\alpha} |R_k| \leq C \frac{X}{(\log X)^\kappa}.$$

*If we can find an estimate with a large value of  $\alpha$ , then we may correspondingly use a small value of  $r$ .*

**Theorem (H&R, 1972).** *Let  $Q_1, Q_2$  be irreducible quadratic polynomials over the integers such that  $Q_1Q_2$  has no fixed prime divisor, then there exist infinitely many integers  $n$  such that*

$$Q_1(n)Q_2(n) = P_9.$$

**Theorem (Iwaniec, 1972).** *Let  $F(x, y)$  be a quadratic polynomial. Then, under a certain simple condition on the coefficients, the number of primes  $p \leq N$  represented by  $F(x, y)$  is of order  $N/(\log N)^{3/2}$ .*

**Theorem (M, 2005).** *Let  $q_1, q_2$  be irreducible binary quadratic forms over the integers, then subject to certain conditions on the forms, then there exist infinitely many pairs of integers  $(n, m)$  such that*

$$q_1(n, m)q_2(n, m) = P_6.$$

Old problem: investigate the variety

$$V : \begin{cases} q_1(x, y) = u^2 + v^2 \\ q_2(x, y) = s^2 + t^2 \end{cases}$$

Count  $N(X)$ , the points  $(x, y, u, v, s, t) \in \mathbb{Z}^6$  with  $|x|, |y| < X$ , and derive asymptotic formula as  $X \rightarrow \infty$ .

In calculating the asymptotic formula, one needs to evaluate quantities of the type

$$\sum_{k < X} |R_k|,$$

as in Halberstam and Richert's theorem.

## More Questions:

Extend results of Marasingha? Pairs of irreducible cubic forms? Triples of quadratic forms?

There are sieve methods for calculating  $\pi(N)$  in time  $O(N^{2/3+\epsilon})$ , which don't require the computation of all the primes, but it seems that to compute  $\pi_2(N) :=$  the number of twin primes  $\leq N$ , we need to compute all the twin primes, taking time  $O(N)$ . Can we improve on this?